

**PLEDGE REQUEST FORM (PRF)**
☐ Setup of Pledge ☐ Confirmation of Pledge ☐ Rejection of Pledge

**Depository Participant Name /Address**

Please fill all the details in **Block Letters** in English

|         |  |      |   |   |   |   |   |   |   |   |
|---------|--|------|---|---|---|---|---|---|---|---|
| PRF No. |  | Date | D | D | M | M | Y | Y | Y | Y |
|---------|--|------|---|---|---|---|---|---|---|---|

I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

**Pledgor's Details**

|                |                |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|----------------|----------------|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|
| DP ID          |                |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |
| Pledgor's Name | 1.<br>2.<br>3. |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |

**Pledgee's Details**

|                |                |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|----------------|----------------|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|
| DP ID          |                |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |
| Pledgee's Name | 1.<br>2.<br>3. |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |

**Details of Securities:** ☐ Free Securities ☐ Locked-in Securities
**Details of Securities offered for Pledge.**

| Sr. no | ISIN | Company Name | Quantity | Date of Release (lock-in) | PSN (System Generated) | Accepted / Rejected by Pledgee | Pledged value |
|--------|------|--------------|----------|---------------------------|------------------------|--------------------------------|---------------|
|        |      |              |          |                           |                        |                                |               |
|        |      |              |          |                           |                        |                                |               |
|        |      |              |          |                           |                        |                                |               |

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

|  |   |  |   |   |   |   |   |   |
|--|---|--|---|---|---|---|---|---|
| <b>Pledge Execution Date</b>                             | D   | D  | M   | M   | Y   | Y | Y | Y |
| Pledge Expiry Date                                       | D   | D  | M   | M   | Y   | Y | Y | Y |
| Total Pledge Value (Rs.)                                 |   |  |   |   |   |   |   |   |
| Agreement No.  |   |  |   |   |   |   |   |   |
| Date of Pledging   | D   | D  | M   | M   | Y   | Y | Y | Y |
| <b>Pledge Reason [tick mark whichever is applicable]</b> | <b>Collateral -Debt I issuance by Co./Grp Co.</b><br><input type="checkbox"/> | <b>Collateral for loan by Company/Gro up C</b><br><input type="checkbox"/> | <b>Collateral for loan by the Third Party</b><br><input type="checkbox"/> | <b>Margin Pledge/MTF for Exchange Trade</b><br><input type="checkbox"/> | <b>Personal use by promoters and PACs</b><br><input type="checkbox"/> |   |   |   |
| <b>PAN of the Ultimate Lender :</b>                      |   |  |   |   |   |   |   |   |

**Annexure 8.1**

*Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.*

|   |   |   |
|---|---|---|
| <b>Pledge Rejection Details</b>   | <b>Pledge Rejection by Pledgor DP</b>   | <b>Pledge Rejection by Pledgee DP</b>   |
| <b>Date of Rejection of Pledge</b>  |   |   |
| <b>Pledge Reason [tick mark whichever is applicable]</b>                                | <input type="checkbox"/> 01 Units not available for Amount requested,<br><input type="checkbox"/> 02 Closure Date not accepted,<br><input type="checkbox"/> 03 Pledged Quantity not accepted,<br><input type="checkbox"/> 04 ISIN not accepted,<br><input type="checkbox"/> 05 Security details not acceptable,<br><input type="checkbox"/> 06 ISIN delisted from Trading,<br><input type="checkbox"/> 07 POA not received from all holders,<br><input type="checkbox"/> 08 Holders not acceptable to the pledgee,<br><input type="checkbox"/> 09 Agreement no. differs from that on the agreement,<br><input type="checkbox"/> 10 Others,<br><input type="checkbox"/> 11 Transfer Quantity not accepted,<br><input type="checkbox"/> 12 Violation of terms of agreement,<br><input type="checkbox"/> 13 Closure Quantity not accepted,<br><input type="checkbox"/> 14 Pledged amount/margin not paid,<br><input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient. | <input type="checkbox"/> 01 Units not available for Amount requested,<br><input type="checkbox"/> 02 Closure Date not accepted,<br><input type="checkbox"/> 03 Pledged Quantity not accepted,<br><input type="checkbox"/> 04 ISIN not accepted,<br><input type="checkbox"/> 05 Security details not acceptable,<br><input type="checkbox"/> 06 ISIN delisted from Trading,<br><input type="checkbox"/> 07 POA not received from all holders,<br><input type="checkbox"/> 08 Holders not acceptable to the pledgee,<br><input type="checkbox"/> 09 Agreement no. differs from that on the agreement,<br><input type="checkbox"/> 10 Others,<br><input type="checkbox"/> 11 Transfer Quantity not accepted,<br><input type="checkbox"/> 12 Violation of terms of agreement,<br><input type="checkbox"/> 13 Closure Quantity not accepted,<br><input type="checkbox"/> 14 Pledged amount/margin not paid,<br><input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient. |
| <b>If you have selected 'Others' then please specify the exact reason for rejection</b> |   |   |

**To be filled and signed in case of Set-up/**Rejection** of Pledge by Pledgor BO**

**Signature of the Pledgor**

| First/ Sole Applicant | Second Applicant | Third Applicant |
|-----------------------|------------------|-----------------|
|                       |                  |                 |

**To be filled in case of acceptance/**rejection** of Pledge by Pledgee BO**

**Signature of Pledgee(s) \***

| First Holder | Second Holder | Third Holder |
|--------------|---------------|--------------|
|              |               |              |

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.  
**Depository Participant Seal and Signature**